APR 0 9 2001 SEE

CASE 4-118-8353B

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Gabriel Lopez

Type or print name

Signature

April 5, 2001

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

NEUER ET AL.

APPLICATION NO: 09/738,212 ¹

FILED: DECEMBER 15, 2000

FOR: PHARMACEUTICAL COMPOSITIONS OF MACROLIDES OR

CYCLOSPORINE WITH A POLYETHOXYLATED SATURATED

HYDROXY-FATTY ACID

Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants wish to make of record the references cited on the attached forms PTO-1449 (2 sheets) and PTO-892 (1 sheet), which are copied from the file wrapper of parent application 09/077,231. Copies of the references are not enclosed as they are available to the Examiner in the parent application.

Applicants also wish to make of record the references cited on attached new forms PTO-1449 (7 sheets). Copies of these references are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 forms.

This paper is being filed before the mailing date of a first Office Action on the merits, and so under 37 C.F.R. §197(b)(3) no fees are required.

Respectfully submitted,

Novartis Corporation Patent and Trademark Dept. 564 Morris Avenue Summit, NJ 07901-1027

Encl: PTO-1449 Form (2 sheets) PTO-892 Form (1 sheet)

PTO-1449 Form (7 sheets) + references

Date: April 5, 2001

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Gabriel Lopez

-2-